

## Message Text

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PAGE 01 STATE 004534  
ORIGIN EUR-12

INFO OCT-01 ISO-00 ERDA-05 NRC-05 DODE-00 CIAE-00 PM-04  
INR-07 L-03 ACDA-07 NSAE-00 SS-15 PRS-01 SP-02  
TRSE-00 SAJ-01 NSC-05 /068 R

DRAFTED BY DOD/AE - CPT. J.HAY/EUR/RPM:EDNEWSOM  
APPROVED BY EUR - MR. LOWENSTEIN  
EUR/RPM - MR. HOLMES  
PM/NPO - MR. FINCH (SUBS)  
C - MR. FUERTH (SUBS)  
DOD/ISA - DR. GAFFNEY  
DOD/ISA - COL. PARMENTIER  
JCS/J-5 - COL. CATHCART  
S/S - RGAMBLE

-----081643Z 114528 /41

R 081625Z JAN 77  
FM SECSTATE WASHDC  
TO USMISSION NATO  
INFO USNMR SHAPE  
USDEL MC  
USLOSACLANT

S E C R E T STATE 004534

E.O. 11652: GDS

TAGS: NATO NPG MNUC PFOR

SUBJECT:NPG: TERMS OF REFERENCE FOR MARITIME NUCLEAR  
-- FORCES PAPER

1. US SUGGESTION FOR TERMS OF REFERENCE (TOR) FOR NPG-  
SPONSORED PAPER ON MARITIME NUCLEAR FORCES FOLLOWS. BACK-  
GROUND POINTS FOR YOUR USE IN NEGOTIATING TOR ARE ALSO IN-  
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2. (FYI) THE PREFERRED US APPROACH TO THE SUBJECT PAPER  
IS AS FOLLOWS:

A. PRODUCT TO BE A FACTUAL PRESENTATION COVERING:

-- DESCRIPTION OF NATO AND WARSAW PACT MARITIME FORCES;  
-- NUCLEAR CAPABILITIES OF NATO AND WP NAVAL FORCES;

-- THE ROLE OF NAVAL NUCLEAR FORCES IN NATO'S DETERRENT  
-- POSTURE.

B. THE PAPER SHOULD BE CONSTRAINED TO A DISCUSSION OF SPECIFIC CAPABILITIES, CLEARLY DESCRIBED OVERALL TRENDS AND IDENTIFICATION OF ASYMMETRIES. PRESSURES FOR BROADER STUDY OBJECTIVES SHOULD BE RESISTED UNTIL FIRM BASE FOR DISCUSSIONS IS AVAILABLE AND MINISTERS HAVE GIVEN FURTHER

INDICATIONS OF THEIR SPECIFIC INTERESTS. IT IS NOT EXPECTED THAT DEPTH OF PRESENTATION WILL REQUIRE ACCESS TO OR PERFORMANCE OF ANALYTICAL STUDIES.

C. IT IS OUR BELIEF THAT THIS MARITIME PAPER IS MOST APPROPRIATELY PUT TOGETHER BY THE MNC'S. SPECIFICALLY, WE BELIEVE THAT SACLANT SHOULD BE ASSIGNED OVERALL RESPONSIBILITY FOR THE PAPER WITH THE ASSISTANCE OF SACEUR FOR MATTERS UNDER SACEUR COGNIZANCE. US INTENDS TO MAKE AN INPUT TO SACLANT. CONTRIBUTIONS BY OTHER NATIONS MAY BE APPROPRIATE.

D. TARGET DATE FOR COMPLETION SHOULD BE CONSISTENT WITH PRESENTATION TO MILITARY COMMITTEE AND PERMREPS BY JUNE '77 TO MINIMIZE TEMPTATIONS FOR EXPANSION OF SCOPE OR CONDUCT OF NEW ANALYSIS.

3. INTRODUCTION OF US DRAFT TOR TO NATO AUTHORITIES. IN THAT THE PERMREPS HAVE AGREED TO ESTABLISH THE TOR IN COOPERATION WITH NATO MILITARY AUTHORITIES AND SINCE THE MNC'S  
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WILL NO DOUBT ACCOMPLISH THE TASK FOR THE NPG, WE RECOMMEND THE FOLLOWING PROCEDURE FOR INTRODUCTION OF THE DRAFT TOR TO NATO.

A. USMILREP INTRODUCE US DRAFT TOR TO MILITARY COMMITTEE FOR APPROVAL. USMISSION SHOULD CIRCULATE FOR INFORMATION TO OTHER NPG DELEGATIONS AND REQUEST THAT DISCUSSION BE DEFERRED UNTIL VIEWS OF MILITARY COMMITTEE HAVE BEEN FORWARDED.

B. ONCE MILITARY COMMITTEE VIEWS HAVE BEEN RECEIVED, USMISSION, FOLLOWING THE GUIDANCE IN PARA 2 ABOVE, SHOULD STAFF THE TOR THROUGH TO FINALIZATION.

4. USMILREP IS BEING INSTRUCTED BY SEPARATE MESSAGE FROM THE JCS TO INTRODUCE THE DRAFT TOR TO THE MILITARY COMMITTEE. (END FYI)

5. BEGIN TEXT OF US DRAFT TOR:

- NUCLEAR PLANNING GROUP
- DRAFT TERMS OF REFERENCE FOR NPG
- PAPER ON MARITIME NUCLEAR WARFARE IN THE
- SACLANT AREA AND IN THE MEDITERRANEAN

#### 1. MINISTERIAL TASK

AT THEIR NOVEMBER 1976 MEETING, NPG MINISTERS INVITED PERMANENT REPRESENTATIVES TO ARRANGE FOR THE PREPARATION OF A

PAPER ON MARITIME NUCLEAR WARFARE IN THE SACLANT AREA AND IN THE MEDITERRANEAN. PERMANENT REPRESENTATIVES AGREED TO ESTABLISH, WITH THE COOPERATION OF THE NATO MILITARY AUTHORITIES, TERMS OF REFERENCE FOR THE SUBJECT PAPER.

#### II. ARRANGEMENTS.

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2. GENERAL APPROACH. THESE TERMS OF REFERENCE PROVIDE GUIDANCE TO THE NATO MILITARY AUTHORITIES, FOR THE PREPARATION OF A PAPER ON MARITIME NUCLEAR WARFARE IN THE SACLANT AREA AND IN THE MEDITERRANEAN. THIS GUIDANCE IS NOT INTENDED TO DETERMINE ALL THE DETAILS OF THE STUDY WORK. THE STUDY TEAM HAS WIDE DISCRETION, WITHIN THE GUIDELINES AND TIME LIMITS INDICATED BELOW TO ARRANGE ITS OWN DETAILED WORKING PROCEDURES.

3. POLITICAL GUIDANCE. THE POLITICAL FRAMEWORK OF THE PAPER IS DETERMINED BY THE GUIDANCE GIVEN BY MINISTERS WITH REGARD TO THEATER NUCLEAR FORCES AT THEIR JUNE 1976 MEETING. OF PARTICULAR INTEREST IS HOW THEATER NUCLEAR FORCES CONTRIBUTE TO, OR IMPROVE, DETERRENCE AND DEFENSE CAPABILITIES WITHIN THE BROAD FRAMEWORK OF NATO STRATEGY (MC 14/3).

IN PARTICULAR:

A. NAVAL NUCLEAR FORCES MUST BE CONSIDERED IN RELATION TO THEIR IMPACT ON THE FORCE STRUCTURE AS A WHOLE;

B. NAVAL NUCLEAR FORCES SHOULD BE CONSIDERED IN THE FRAMEWORK OF THE FOLLOWING BROAD GOAL. AN UNQUESTIONED ABILITY TO:

- EXECUTE NATO'S GENERAL NUCLEAR RESPONSE UNDER ALL CIRCUMSTANCES,
- CARRY OUT LIMITED NUCLEAR OPERATIONS, WITH DISCRIMINATION AND LIMITED COLLATERAL DAMAGE.

III. SCOPE

4. THE OBJECTIVE OF THE PAPER IS TO MAKE A PRESENTATION TO  
MINISTERS ON THE CURRENT NATO NUCLEAR NAVAL FORCE POSTURE

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AND DISCUSS PLANNED IMPROVEMENTS IN ITS EFFECTIVENESS. THE  
UNITED STATES PAPER "IMPROVING THE EFFECTIVENESS OF NATO'S  
THEATER NUCLEAR FORCES," AS WELL AS COMMENTS BY NATIONS AND  
NATO MILITARY AUTHORITIES, CONSTITUTE A SOUND REFERENCE FOR  
THE DEVELOPMENT OF THE PAPER. GUIDANCE AND PRINCIPLES OUT-  
LINED IN DPC/D(69)58(REVISED)(7) SHOULD BE GIVEN DUE  
CONSIDERATION.

5. WITHIN THE FRAMEWORK OF THIS GENERAL GUIDANCE, THE  
PAPER SHOULD COVER, INTER ALIA, APPROPRIATE ASPECTS OF THE  
FOLLOWING:

- (A) THE WARSAW PACT THREAT TO NAVAL FORCES.
- (B) NATO NAVAL CAPABILITIES.

6. MILITARY GUIDANCE. THE MILITARY FRAMEWORK OF THE PAPER  
SHOULD BE BASED ON CONSIDERATION OF NATO AND WARSAW PACT  
NAVAL NUCLEAR CAPABILITIES. IN PARTICULAR:

(A) NATO AND WARSAW PACT MARITIME STRATEGY, OBJECTIVES AND  
MISSIONS

- TO HIGHLIGHT BASIC MISSION ASYMMETRIES
- TO EXAMINE FORCE AND MISSION SITUATIONS RELATING TO:
  - SOUTHERN FLANK PROTECTION
  - NORTHERN FLANK REINFORCEMENT
- CONTROL AND PROTECTION OF VITAL SHIPPING.

(B) NATURE OF NAVAL NUCLEAR FORCE

- ROLE OF NAVAL NUCLEAR FORCE IN NATO'S DETERRENT POSTURE.

EACH COMPONENT OF NVAL NUCLEAR FORCE SHOULD BE EXAMINED:

- AREA ASW
  - FLEET PROTECTION (AIR DEFENSE, ASW)
- SECRET

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- STRIKE WARFARE

- COMPLEMENTARY NATURE OF NUCLEAR AND CONVENTIONAL NAVAL
- FORCE
- NAVAL NUCLEAR FORCE RELATIONSHIP TO NATO'S FLEXIBLE RE-
- SPONSE STRATEGY,
- POTENTIAL FOR LIMITED NUCLEAR OPERATIONS
- EMPLOYMENT PLANS AND PLANNING.

(C) PLANNED IMPROVEMENTS IN NATO NAVAL NUCLEAR FORCE.

- ENHANCEMENT OF SURVIVABILITY
- RESPONSIVENESS TO DIRECTION OF POLITICAL AUTHORITY
- EMPLOYMENT FLEXIBILITY

(FYI: THIS SECTION SHOULD NOT REPEAT NOT RECOMMEND WEAPONS SYSTEMS IMPROVEMENTS BUT RATHER COMMENT ON THE IMPACT OF NEW PROGRAMS AS THEY ENTER THE FORCE END FYI)

IV. REPORTING TO MINISTERS

7. PERMANENT REPRESENTATIVES WILL CONDUCT A REVIEW AND DISCUSSION PRIOR TO FORWARDING THE PAPER TO MINISTERS. THE PAPER SHOULD BE PRESENTED TO PERMANENT REPRESENTATIVES BY JUNE 1977.

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## Message Attributes

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**Disposition Date:** 22 May 2009  
**Disposition Event:**  
**Disposition History:** n/a  
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**Disposition Remarks:**  
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**Document Source:** CORE  
**Document Unique ID:** 00  
**Drafter:** CPT. J.HAY/EUR/RPM:EDNEWSOM  
**Enclosure:** n/a  
**Executive Order:** GS  
**Errors:** N/A  
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**Review Exemptions:** n/a  
**Review Media Identifier:**  
**Review Release Date:** n/a  
**Review Release Event:** n/a  
**Review Transfer Date:**  
**Review Withdrawn Fields:** n/a  
**SAS ID:** 3637118  
**Secure:** OPEN  
**Status:** NATIVE  
**Subject:** NPG: TERMS OF REFERENCE FOR MARITIME NUCLEAR -- FORCES PAPER  
**TAGS:** MNUC, PFOR, NATO, NPG  
**To:** NATO BRUSSELS  
**Type:** TE  
**vdkgvwkey:** odbc://SAS/SAS.dbo.SAS\_Docs/0003b7db-c288-dd11-92da-001cc4696bcc  
**Review Markings:**  
Margaret P. Grafeld  
Declassified/Released  
US Department of State  
EO Systematic Review  
22 May 2009  
**Markings:** Margaret P. Grafeld Declassified/Released US Department of State EO Systematic Review 22 May 2009